

APPLICATION FOR SELF-MANAGED SUPER FUND ACCOUNT

Prior to opening a Self-Manager Super Fund (SMSF) account, you **must** complete this application in full, along with all required documents listed in the below checklist and all proof of identification documents for all nominated registered persons to Guardian Vaults.

Your application will be reviewed by our office and you will be contacted within 5 business days to organise a time to come to the office to complete the opening of your account or provision of further documentation. An establishment fee of \$50 is charged above our standard price list on all SMSF Safe Deposit account rental fees [first year only].

If you have a general question regarding opening an account please contact us by email at melbourne@guardianvaults.com.au for the Melbourne Offices or sydney@guardianvaults.com.au for the Sydney office.

The completion and submission of this application and the required documents, does not form a contract between your SMSF and Guardian Vaults.

Your information must be valid and current and will be verified every 12 months as per our ongoing due diligence. Should your information change or require an update, please ensure to notify us via email or when you next visit our office.

We reserve the right to decline the opening of an account due to our internal processes without further explanation.

Checklist of Required Documents to Establish a SMSF Account

- This SMSF Application Form Completed. (Page 1 & 2).
- Letter of Authority authorising the opening of the account on behalf of any Trustees (or directors, if a corporate trustee) that are noted in the Schedule 1 or who have signed the signatory pages and will not be an account holder/nominated registered person. The letter should also detail who will be using the box on behalf of the SMSF.
- Certified copies of the Front Page, Schedule 1 Page/s and all Signatory pages of the Trust Deed.**
We will only accept physical certified copies of these pages of the original document, certified in the last 6 months. We will **not** make copies of original documents nor are we authorised to certify your documents.
- Australian Securities & Investments Commission (ASIC) Current Extract. (If Applicable)
- ACN and or ABN (If Applicable)
- Personal Identification including photo ID and Proof of address of all parties to the account, this includes all authorising Trustee/Directors and/or any other parties registered to the account.
For accepted personal identity documents, please see 'Proof of Identification Documents' (page 3).

Guardian Vaults Holdings Pty Ltd, its officers, agents, representatives and employees do not hold an Australian Financial Services Licence (AFSL). If you require advice about a financial product, you should contact a licensed or authorised financial advisor.

SELECT YOUR PREFERRED LOCATION:MELBOURNE | 100 William St 55 Collins StSYDNEY | 151 Castlereagh St**SELECT YOUR ACCOUNT TYPE: All Accounts can only have up to 4 Nominated Registered Persons.** CORPORATE TRUSTEE INDIVIDUAL TRUSTEE *Held in the name of the Nominated Registered Person (1)* JOINT ACCOUNT *Held in the name of Nominated Registered Person's (1) and (2).* Please tick this box if you would like this application to be used to establish a Guardian Gold purchasing account.

LEASE or REFERENCE _____

SAFE DEPOSIT BOX/ SAFE SIZE _____

Please Complete Page 2

APPLICATION FOR SELF-MANAGED SUPER FUND ACCOUNT

| SELF-MANAGED SUPER FUND ACCOUNT (SMSF) DETAILS | | |
|--|--------------------------|------------|
| Full Trust Name: | | |
| ATF: | | |
| ABN: | ACN: | |
| Country of Establishment: | | |
| Full Name, Date of Birth and Residential Address of any individual who is a beneficiary of 25% or more <i>Letter of Authority to establish account must be received from all persons listed here.</i> | | |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| NOMINATED REGISTERED PERSON (1) <i>Please complete all fields - Residential Address cannot be a PO BOX.</i> | | |
| Surname: | Given Name: | |
| Middle Name(s): | Date of Birth: / / | |
| Residential Address: | | |
| Suburb: | State: | Post Code: |
| Mobile Phone: | Other Phone: | |
| Email: | | |
| SIGNATURE (1) _____ DATE _____ | | |
| NOMINATED REGISTERED PERSON (2) <i>Please complete all fields - Residential Address cannot be a PO BOX.</i> | | |
| Surname: | Given Name: | |
| Middle Name(s): | Date of Birth: / / | |
| Residential Address: | | |
| Suburb: | State: | Post Code: |
| Mobile Phone: | Other Phone: | |
| Email: | | |
| SIGNATURE (2) _____ DATE _____ | | |
| AUTHORISED TO COMMUNICATE (No Access) | | |
| Surname: | Given Name: | |
| Date of Birth: / / | Email: | |
| Mobile PH: | | |
| AUTHORISED TO COMMUNICATE (No Access) | | |
| Surname: | Given Name: | |
| Date of Birth: / / | Email: | |
| Mobile PH: | | |

OFFICE USE ONLY: Received by: _____ Date _____

PROOF OF IDENTIFICATION DOCUMENTS

You are required to provide a minimum of 2 types of documentation when opening or maintaining an account with Guardian Vaults Melbourne or Guardian Vaults Sydney. Present one document from Table A and one document from Table B.

Table A documents must be presented in person. If not provided in person, you may provide a colour photocopy of the front and back of the I.D, certified in the last 3 months, returned to our office by email or Registered Post.

**Please notify our office of the registered tracking number, if you return your documents via registered post.*

Your information must be valid and current and will be verified every 12 months as per our ongoing due diligence. Should your information change or require an update, please ensure to notify us via email or when you next visit our office.

| TABLE A – Proof of Identification 1 Document required. | Important Notes |
|--|--|
| <ul style="list-style-type: none"> <input type="checkbox"/> Current Australian Passport. <input type="checkbox"/> Current Australian Drivers Licence. <input type="checkbox"/> Current Australia Post Keypass ID Card. <input type="checkbox"/> Current Australian Proof of Age Card | <p>Australian Drivers licence may be used as proof of your identification, provided this is not also being used as your proof of address.</p> <p>Passports that are 6 months from expiry will also not be accepted.</p> <p>Proof of your identity documents must be valid, not expired and contain a colour photograph, date of birth and/or your residential address.</p> |

| TABLE B – Proof of Your Residential Address 1 Document required | Important Notes |
|--|---|
| <p>Statement</p> <ul style="list-style-type: none"> <input type="checkbox"/> Bank, Credit Card, Mortgage etc. <p>Utility Household Bill</p> <ul style="list-style-type: none"> <input type="checkbox"/> Gas, Electricity, Water, Telephone, Internet. <p>Local Authority</p> <ul style="list-style-type: none"> <input type="checkbox"/> Rates Notice issued by local council. <p>Government</p> <ul style="list-style-type: none"> <input type="checkbox"/> Financial Benefits Statement issued by the Commonwealth/State or Territory to you. <input type="checkbox"/> Australian Tax Office (ATO) notice issued to you. | <p>Statements must be dated within the last 6 months</p> <p>Bills must be dated within the last 6 months</p> <p>Local authority notices must be dated within the last 6 months</p> <p>Government or Tax notices must be dated within the last 6 months.</p> <p>Must show full name and residential address</p> |

Note: Any other type of documentation (including overseas documents) will only be accepted at the discretion of the General Manager or Compliance Officer.