

**APPLICATION FOR SELF-MANAGED SUPER FUND ACCOUNT (SMSF) OR TRUST**

Prior to opening a Self-Manager Super Fund (SMSF) or Trust account, you **must** complete this application in full, along with all Table A required documents and all proof of identification documents for all nominated registered persons to Guardian Vaults.

Your application will be reviewed by our office and you will be contacted within 5 business days to organise a time to come to the office to complete the opening of your account or if we require further documentation. A fee of \$50 is charged above our standard price list on all SMSF or Trust Safe Deposit account rental fees [First year only].

If you have a general question regarding a Self-Manager Super Fund (SMSF) account or Trust account please contact us by email at [melbourne@guardianvaults.com.au](mailto:melbourne@guardianvaults.com.au) for the Melbourne Offices or [sydney@guardianvaults.com.au](mailto:sydney@guardianvaults.com.au) for the Sydney office.

**The completion and submission of this application and the required documents, does not form a contract between your SMSF or Trust and Guardian Vaults.**

We reserve the right to decline the opening of an account due to our internal processes without further explanation.

TABLE A – Required Documents to Establish a SMSF Account	
<input type="checkbox"/>	Completed SMSF/ Trust Account Application Form (Page 1 & 2)
<input type="checkbox"/>	Letter of Authority authorising the opening of the account on behalf of any Trustees (or directors, if a corporate trustee) that are noted in the Schedule 1 or who have signed the signatory pages and will not be an account holder/nominated registered person. The letter should also detail who will be using the box and on behalf of the SMSF or Trust.
<input type="checkbox"/>	<b>Certified copies the Front Page, Schedule 1 Page/s and all Signatory pages of the SMSF / Trust Deed.</b> <i>We will only accept physical certified copies of these pages of the original document, certified in the last 6 months. Please note we will <b>not</b> make copies of original documents nor are we authorised to certify your documents.</i>
<input type="checkbox"/>	Australian Securities & Investments Commission (ASIC) Registration Form or Extract.
<input type="checkbox"/>	ACN and or ABN issued to the SMSF or Trust. (If Applicable)
<input type="checkbox"/>	Personal Identification including photo ID and Proof of address of all parties to the account, this includes all authorising Trustee/Directors and/or any other parties registered to the account. <b>For acceptable personal identity documents, please see ‘Proof of Identification Document’ requirements.</b>

Guardian Vaults Holdings Pty Ltd, its officers, agents, representatives and employees do not hold an Australian Financial Services Licence (AFSL). If you require advice about a financial product, you should contact a licensed or authorised financial advisor.

SELF-MANAGED SUPER FUND ACCOUNT (SMSF) OR TRUST INFORMATION	
Name of the <input type="checkbox"/> SMSF or <input type="checkbox"/> Trust:	
ATF:	
Registered Address:	
Principal Place of Business Address:	
ABN:	ACN:
Country of Establishment:	

**TRUSTEES**

Name of All Trustees [Letter of Authority to establish account must be received from all persons listed here]

1.	2.
3.	4.

**Please Complete Page 2**

APPLICATION FOR SELF-MANAGED SUPER FUND ACCOUNT (SMSF) OR TRUST

**SELECT YOUR LOCATION:** MELBOURNE |  100 William  55 Collins SYDNEY |  151 Castlereagh

**SELECT YOUR ACCOUNT TYPE:**  SMSF ACCOUNT  
 TRUST ACCOUNT *All Accounts can have a maximum of 4 Nominated Registered Persons.*  
 JOINT ACCOUNTS *Joint Accounts can only be between 2 Nominated Registered Persons.*

Please tick this box if you would like this application to be used to establish a Guardian Gold purchasing account.

LEASE or REFERENCE \_\_\_\_\_ SAFE DEPOSIT BOX/ SAFE SIZE \_\_\_\_\_

NOMINATED REGISTERED PERSON (1)			
Bold fields are required			
Surname:		Given Name:	
Middle Name(s):		Date of Birth: / /	
Residential Address**:			
		Suburb:	
State:	Post Code:	Country:	
Mobile PH:		Home PH:	
Email:			
Postal Address:			
State:	Post Code:	Suburb:	Country:

\*\*Cannot be a PO BOX

PREFERRED CONTACT METHOD  EMAIL  SMS/PHONE  POST SIGNATURE (1) \_\_\_\_\_ DATE \_\_\_\_\_

NOMINATED REGISTERED PERSON (2)			
Bold fields are required			
Surname:		Given Name:	
Middle Name(s):		Date of Birth: / /	
Residential Address**:			
		Suburb:	
State:	Post Code:	Country:	
Mobile PH:		Home PH:	
Email:			
Postal Address:			
State:	Post Code:	Suburb:	Country:

\*\*Cannot be a PO BOX

PREFERRED CONTACT METHOD  EMAIL  SMS/PHONE  POST SIGNATURE (2) \_\_\_\_\_ DATE \_\_\_\_\_

AUTHORISED TO COMMUNICATE (No Access)	
Surname:	Given Name:
Date of Birth: / /	Email:
Mobile PH:	Affiliation to SMSF or Trust:

AUTHORISED TO COMMUNICATE (No Access)	
Surname:	Given Name:
Date of Birth: / /	Email:
Mobile PH:	Affiliation to SMSF or Trust:

OFFICE USE ONLY:  received \_\_\_\_\_ Date \_\_\_\_\_

### PROOF OF IDENTIFICATION DOCUMENTS

You will be required to provide a minimum of 2 types of documentation when opening and maintaining an account with Guardian Vaults Melbourne or Guardian Vaults Sydney.

<b>TABLE A – Proof of Identification documents.</b> <b>1 Document required.</b>	<b>Important Notes</b>
<ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Current Australian Passport</b></li> <li><input type="checkbox"/> <b>Current International Passport</b></li> <li><input type="checkbox"/> <b>Current Australian Drivers Licence</b> Australian Drivers licence may be used as proof of your identification, provided this is not also being used as your proof of address.</li> <li><input type="checkbox"/> <b>Current International Drivers Licence</b></li> <li><input type="checkbox"/> <b>National Identity Card</b></li> <li><input type="checkbox"/> <b>Proof of Age Card</b></li> </ul>	<p>Proof of your identity documents must be valid, not expired and contain a colour photograph.</p> <p>I.D must be presented in person. If not provided in person, you may provide a colour photocopy of the front and back of the I.D, certified in the last 3 months, returned to our office by email or Registered Post. <i>*Please notify our office of the registered tracking number, if you return your documents via registered post.</i></p> <p>Passports that are 6 months from expiry will also not be accepted.</p> <p>We will not accept a passport that shows V.I.P.P. (visa in previous passport), without seeing the original visa. You will need to present your existing current passport, plus the passport that contains the valid visa.</p>

<b>TABLE B – Proof of Your Residential Address</b> <b>1 Document required</b>	<b>Important Notes</b>
<p><b>Statement</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Bank, Credit Card, Mortgage etc.</b></li> </ul> <p><b>Utility Household Bill</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Gas, Electricity, Water, Telephone, Internet.</b></li> </ul> <p><b>Local Authority</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Rates Notice issued by local council.</b></li> </ul> <p><b>Government</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Financial Benefits Statement issued by the Commonwealth/State or Territory to you.</b></li> <li><input type="checkbox"/> <b>Australian Tax Office (ATO) notice issued to you.</b></li> </ul>	<p><b>Must Show full name and residential address</b></p> <p>Statements must be dated within the last 6 months.</p> <p>Bills must be dated within the last 6 months.</p> <p>Local authority notices must be dated within the last 6 months.</p> <p>Government or Tax notices must be dated within the last 6 months.</p> <p><i>Please Note: Any other type of documentation will only be accepted at the discretion of the site manager at the time of registration.</i></p>

**OVERSEAS IDENTIFICATION DOCUMENTATION:**

- 2 proof of Identification Documents from (Table A)
- 1 proof of Address Document from (Table B). **It must correspond with an address on one of the identity documents.**

Documents should be in English or translated into English. Any translation should be legalised by the Embassy of the foreign national or Notary Public/Lawyer in the country of residence of the foreign national. All legalised documents and translations when presented should be no more than 6 months old.

*We reserve the right to decline to open an account due to our internal processes without further explanation.*